

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al. Art Unit : 2818
 Serial No. : 10/754,701 Examiner : Dao H. Nguyen
 Filed : January 12, 2004 Conf. No. : 9100
 Title : LIGHT EMITTING DEVICE AND ELECTRICAL APPLIANCE

MAIL STOP AMENDMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AM	Japan 08-234683 -- English Abstract: esp@cenet.com and family to U.S. Patent No. 5,684,365 (Desig. ID "AA") and European Patent No. 0 717 446 (Desig. ID "AL").
AN	JAPAN 10-036829 -- English Abstract

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information in this statement, with the exception of references "AA" and "AL", (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated May 29, 2007, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. References "AA" and "AL" are English-language documents corresponding to reference "AM", and are cited in accordance with MPEP 609(III)(B)(5).

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Attorney's Docket No.: 07977-276002 / US4942D1

No fee is believed to be due in connection with the filing of this paper on the Electronic Filing System (EFS). In the event that any fees are due, please apply any charges or credits to deposit account 06-1050,

Respectfully submitted,

Date: _____

7/19/07



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-276002	Application No. 10/754,701
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Shunpei Yamazaki et al.	
		Filing Date January 12, 2004	Group Art Unit 2818
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,684,365	11/04/1997	Tang et al.			12/14/1994
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	AL	EP 0 717 446	06/19/1996	EUROPE			In English	
	AM	JP 08-234683	09/13/1996	JAPAN			Abstract	
	AN	JP 10-036829	02/10/1998	JAPAN			Abstract	
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	